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FORM PTO-1449

Atty. Docket No.:  
M651.12-0323Appl. No.:  
09/751,836LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

First Named Inventor:

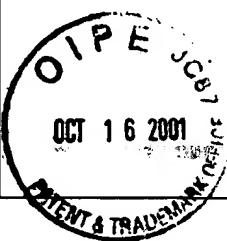
Philipp H. Schmid et al.

Filing Date

Group Art:

December 29, 2000

2641



## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AS J.L.	AA ✓	<b>Isolated-word sentence recognition using probabilistic context-free grammar</b> By: G.J.F. Jones et al. Eurospeech 91, 2nd European Conf. On Speech Comm. and Tech. Proceedings p. 487-9, vol. 2.
	AB ✓	<b>Context-free grammar driven, frame synchronous HMM-based continuous speech recognition methods using word spotting</b> By: S. Nakagawa et al. Transactions of the Inst. of Electr., Information and Communication Engineers D-II vol. J76D-II, no. 7, p. 1329-36. .
	AC ✓	<b>One-pass continuous speech recognition directed by generalized LR parsing</b> By: K. Kita et al., ICSLP 94. 1994 International Conference on Spoken Language Processing.
	AD	<b>The ARISTOTLE speech recognition system</b> By: C. Waters et al., Progress in Connectionist-Based Information Systems
	AE	<b>A context-free grammar compiler for speech understanding systems</b> By: M.K. Brown et al. ICSLP 94. 1994 International Conference on Spoken Language Processing Part. Vol. 1, p. 21-4.
	AF	<b>Efficient word-graph parsing and search with a stochastic context-free grammar</b> By: C.J. Waters et al., 1997 IEEE Workshop on Automatic Speech Recognition and Understanding Proceedings
	AG	<b>Dynamic programming parsing for context-free grammar in continuous speech recognition</b> By: H. Ney, IEEE Transactions on Signal Processing, Vol. 39, no. 2, p. 336-40.
	AH	<b>Using a stochastic context-free grammar as a language model for speech recognition</b> By: D. Jurafsky, et al., 1995 International Conference on Acoustics, Speech, and Signal Processing. Part 1, p. 189-92, Vol. 1.
	AI	<b>Development of an effective context-free parser for continuous stochastic languages</b> By: L.R. Strydom et al., AFRICON 96'. Incorporating AP-MTT-96 and COMSIG-96.
	AJ	<b>Reliable utterance segment recognition by integrating a grammar with statistical language constraints</b> By: H. Tsukada et al., Speech Communication Vol. 26, No. 4, p. 299-309.
	AK	<b>Active middleware services in a decision support system for managing highly available distributed resources</b> By: S.A. Fakhouri et al., International Conf. On Distributed Systems Platforms and Open Distributed Processing. Lecture Notes in Computer Science Vol. 1795, p. 349-71.

EXAMINER:

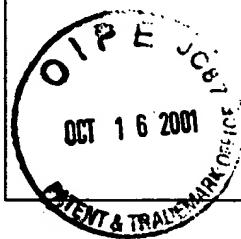
DATE CONSIDERED: 03/04 9/13/04

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		Philipp H. Schmid et al.	
		Filing Date	Group Art:
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BB	AA	<b>Improving scalability of event-driven distributed objects architectures.</b> By: D. Mencnarowski et al., Poland Journal: Software-Practice and Experience Vol. 30, No. 13, p. 1509-29
	AB	<b>Improved spelling recognition using a tree-based fast lexical match.</b> By: C.D. Mitchell et al., 1999 IEEE International Conf. On Acoustics, Speech and Signal Proceedings. Vol. 2, p. 597-600.
	AC	<b>Event management components for the 3/sup rd/ generation OSS</b> By: S, Desrochers et al., Proceedings of Network Operations and Management Symposium Conference Date: 10-14 April 2000 Conference Location: Honolulu, HI, USA
	AD	<b>A context-dependent similarity measure for strings</b> By: E. Tanaka. Transactions of the Institute of Electronics and Communication Engineers of Japan, Part A, VO.. J67A, No. 6, p. 612-13. .
	AE	<b>READY: a high performance event notification service</b> By: Gruber, R.E. et al. Proceedings 16th International Conference on Data Engineering Conference Sponsor: IEEE Comput. Soc. Tech. Committee on Data Eng. Conference Date: 29 Feb.-3 March 2000 Conference Location: San Diego, CA, USA
	AF	<b>An event notification framework based on Java and CORBA</b> By: Tomono, M. Japan Conference Title: Integrated Network Management VI. Distributed Management for the Networked Millennium
	AG	<b>Mobile streams</b> By: Ranganathan, M et al., Proceedings of the Sixth Annual Tcl/Tk Conference p.203-4 Publisher: USENIX Assoc, Berkeley, CA, USA Publication Date: 1998
	AH	<b>A flexible and recoverable client/server database event notification system</b> By: Hanson, E.N. et al., VLDB Journal vol.7, no.1 p.12-24, 1998.
	AI	<b>Using events to build distributed applications</b> Author(s): Bacon, J. et al., Second International Workshop on Services in Distributed and Networked Environments Conference Sponsor: IEEE Comput. Soc. Tech. Committee on Distributed Process Conference Date: 5-6 June 1995
	AJ	<b>High-Performance Alphabet Recognition</b> , IEEE Speech and Audio Processing, By: Philipos C. Loizue et al. Nov. 1996, Vol. 4, No. 6, pp. 430-445.
J	AK	<b>Speaker-Independent Name Retrieval From Spellings Using a Database of 50,000 Names</b> , By: Ronald A. Cole et al., 1991 International Conference on Acoustics, Speech, and Signal Processing Vol. 5, pp. 325-328.

EXAMINER: Andrea Matthea N Shl DATE CONSIDERED: 9/13/04

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AA ✓	IBM Voicetype Software, By: R. Fletcher, IEE Colloquium on the Language Toolkit Engineers in Business.
AB	Temporal Decomposition and Acoustic-Phonetic Decoding for the Automatic Recognition of Continuous Speech By: P. Deleglise et al. 9th International Conference on Pattern Recognition. Vol. 11, pp. 839-841.
AC ✓	Improving Recognizer Acceptance Through Robust, Natural Speech Repair By: Arthur E. McNair et al., 1994 International Conference on Spoken Language Processing. Pp. S22-15.1 - S22-15.4
AD	Automated Directory Listing Retrieval System Based on Isolated Word Recognition By: Bernd Aldefeld et al., Proceedings of the IEEE, Vol. 68, No. 11, Nov. 80 pp. 1364-1379.
AE ✓	Empirical evaluation of interactive multimodal error correction, By: Suhm, B., 1997 IEEE Workshop on Automatic Speech Recognition and Understanding Proceedings
AF	Noise robust speech recognition makes in-car navigation safe and affordable By: Smolders, J. et al. 'Steps Forward'. Proceedings of the Second World Congress on Intellectual Transport Systems '95 Yokohama Part vol. 2 p. 601-4 vol. 2.
AG ✓	Building a listener engine: a Smalltalk interface to speech recognition By: LaLonde, W., Pugh, J. Journal: Journal of Object Oriented Programming (JOOP) vol. 10, no. 7 1997-1998
AH	LEAP: Language Enabled Application By: Alabiso, B. ; Kronfeld, A. First International Workshop on Human-Computer Conversation. Draft Proceedings p. 15
AI ✓	Tools for developing voice-operated applications By: Newstadt, R.E., Speech Technology vol. 4, no. 4, p. 62-6.
AJ ✓	Examining Microsoft's Speech SDK By: Davis, P., Dr. Dobb's Journal vol. 24, no. 7 p. 86, 88-90. 1999
AK ✓	Speech processing technology towards practical use By: Shirai, K.; Kobayashi, T.; Kudo, I., Joho Shori vol. 38, no. 11 p. 971-5
AL ✓	Recent improvements on Microsoft's trainable text-to-speech system-Whistler By: Haung, X et al., 1997 IEEE International Conference on Acoustics, Speech and Signal Processing (Cat. No. 97CB36052) Part vol. 2 p. 959-62 vol. 2.
AM ✓	Software text-to-speech By: Hallahan, W.J.; Vitale, A.J., Journal: International Journal of Speech Technology vol. 1, no. 2 p. 121-34
AN ✓	Japanese text-to-speech software (FLUET) based on waveform synthesis method By: Hakoda, K.; Tsukada, H.; Hirokawa, T.; Yoshida, Y.; Mizuno, H.

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<i>OCT 16 2001</i>		Filing Date      Group Art:	
		<b>December 29, 2000</b>	<b>2641</b>

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**EXAMINER:**

~~Matthew~~ Matthew J. Sied

**DATE CONSIDERED:**

~~2000~~ 9/13/04

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